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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No. - <b>0765-2218</b>
	First Inventor or Application Identifier <b>Hongyong ZHANG et al</b>
	Title: <b>METHOD FOR FORMING SEMICONDUCTOR DEVICE</b>
	Express Mail Label No.
Please type a plus sign (+) inside this box - <input type="checkbox"/>	
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	
<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<b>1. [X] Fee Transmittal Form (e.g., PTO/SB/17)</b> (Submit an original, and a duplicate for fee processing) Total Pages [ 28 ]	
<b>2. [X] Specification</b> (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	
<b>3. [X] Drawing(s)</b> (35 USC 113) (Figs. 1-5) Total Sheets [ 5 ] Total Pages [ 2 ]	
<b>4. [X] Oath or Declaration</b> <ul style="list-style-type: none"><li>a. [ ] Newly executed (original or copy)</li><li>b. [X] Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) (Note Box 5 below)</li><li>i. [ ] <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul>	
<b>5. [X] Incorporation By Reference (useable if Box 4b is checked)</b> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	
<b>6. [ ] Microfiche Computer Program (Appendix)</b>	
<b>7. Nucleotide and/or Amino Acid Sequence Submission</b> (if applicable, all necessary) <ul style="list-style-type: none"><li>a. [ ] Computer Readable Copy</li><li>b. [ ] Paper Copy (identical to computer copy)</li><li>c. [ ] Statement verifying identity of above copies</li></ul>	
<b>ACCOMPANYING APPLICATION PARTS</b>	
<b>8. [ ] Assignment Papers (cover sheet &amp; document(s))</b>	
<b>9. [ ] 37 CFR 3.73(b) Statement</b> [ ] Power of Attorney (when there is an assignee)	
<b>10. [ ] English Translation Document (if applicable)</b> [ ] Copies of IDS Citations	
<b>11. [X] Information Disclosure Statement</b> (IDS)/PTO-1449	
<b>12. [X] Preliminary Amendment</b>	
<b>13. [X] Return Receipt Postcard (MPEP 503)</b> (Should be specifically itemized)	
<b>14. [ ] *Small Entity</b> [ ] Statement filed in prior application, Statement(s) Status still proper and desired (PTO/SB/09-12)	
<b>15. [ ] Certified Copy of:</b>	
<b>16. [X] Other: Notice of Change of Address and Notice of Change of Name</b>	
*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.	
<b>17. If a DIVISIONAL APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:</b> [X] Divisional of prior application Serial No. 08/685,789, filed July 24, 1996; which itself is a Continuation of Serial No. 08/420,472 filed April 12, 1995 now abandoned.	
Prior application information: Examiner: <b>E. Pert</b> Group/Art Unit: <b>2813</b>	
<b>18. CORRESPONDENCE ADDRESS</b>	
[X] Customer Number or Bar Code Label Customer No. 22204 or [X] Correspondence address below (Insert Customer No. or Attach bar code label here)	
Name: <b>Jeffrey L. Costellia</b> Firm: <b>Nixon Peabody LLP</b> Address: <b>8180 Greensboro Drive, Suite 800</b> City: <b>McLean</b> State: <b>VA</b> Zip Code: <b>22102</b> Country: <b>U.S.A.</b> Telephone: <b>(703) 790-9110</b> FAX: <b>(703) 883-0370</b>	
Name: <b>Jeffrey L. Costellia</b> Registration No. <b>35,483</b>	
Signature: <i>Jeffrey L. Costellia</i> Date: <b>October 25, 2000</b>	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

## Complete If Known

Application Number Not Yet Assigned  
Filing Date October 25, 2000  
First Named Inventor Hongyong ZHANG et al  
Examiner Name E. Pert  
Group Art Unit 2813  
Attorney Docket Number 0756-2218

TOTAL AMOUNT OF PAYMENT \$950.00

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  
Deposit Account No. 19-2380  
Deposit Account Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, PC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 710	201 355	Utility filing fee	[710.00]
106 320	206 160	Design filing fee	[ ]
107 490	207 245	Plant filing fee	[ ]
108 710	208 355	Reissue filing fee	[ ]
114 150	214 75	Provisional filing fee	[ ]
SUBTOTAL (1)			[710.00]

#### 2. EXTRA CLAIM FEES

Total Claims 18 - 20 = 0 X \$18.00 = \$240.00  
Independent Claims 8 - 3 = 3 X \$80.00 = \$240.00  
Multiple Dependent Claims  
\*\*or number previously paid, if greater. For Reissues, see below

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim	
109 78	209 39	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			[240.00]

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity

Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Ext for reply within first month	
116	390	216	195	Ext for reply within second mth	
117	890	217	495	Ext for reply within third mth	
118	1,390	218	695	Ext for reply within fourth mth	
128	1,890	228	945	Ext for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	300	220	150	Filing brief in support of appeal	
121	270	221	135	Request for Oral Hearing	
138	1,510	138	1,510	Petition to institute public use proceeding	
140	110	240	55	Petition to revive-unavoidable	
141	1,240	241	620	Petition to revive-unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of IDS	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
				Other _____	
				Other _____	
				*Reduced by Basic Filing Fee Paid	
				SUBTOTAL (3)	\$ _____

### SUBMITTED BY

Typed or Printed Name

Jeffrey L. Costellia

Signature

Date

October 25, 2000

Reg. Number

35,483

Deposit Account User ID

19-2380

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